

POLICE & CRIME COMMISSIONER FOR LEICESTERSHIRE JOINT AUDIT, RISK & ASSURANCE PANEL

PAPER MARKED

D

Report of	CHIEF CONSTABLE and CHIEF FINANCE OFFICER (OPCC)
Subject	INTERNAL AUDIT RECOMMENDATIONS AND TRACKING
Date	MONDAY 2ND FEBRUARY 2015 13:00P.M.
Author :	KIRSTY WHITBREAD

Purpose of Report

- The following exception report provides the Panel with update progress responses from business owners and external auditors Baker Tilly in relation to High, Medium, Low priority and advisory recommendations for the period 2013-14 and for 2014-15 [and for 'Follow Up' audit reports for previous years 2012-13 and 2011-12.] undertaken by external auditors Baker Tilly.
- The following table illustrates the number of High, Medium, Low, Advisory recommendations outstanding and completed since the last progress report for the Baker Tilly audit year 2013-14:

Priority of Recommendation	Outstanding		Completed	Total
	RED	AMBER	GREEN	
High	-	-	-	0
Medium	-	1	1	2
Low	-	1	2	3
Advisory	1	-	-	1
Total	1	2	3	

- The following table illustrates the number of outstanding and completed High, Medium, Low recommendations for the Baker Tilly audit year 2014-15 to date:

Priority of Recommendation	Outstanding		Completed	Total
	RED	AMBER	GREEN	
High	-	-	1	1
Medium	-	1	-	1
Low	-	2	1	3

Total	-	3	2	
--------------	---	----------	----------	--

Recommendation

4. For the panel to note the attached update on progress responses against each respective audit recommendation so far for 2013-14 and for 2014-15.
5. For ease of reference the recommendations at Appendix A attached have been individually graded as follows:
 - I. **RED** – Outstanding and Exceeding the Target Date.
 - II. **AMBER** – Outstanding but Within the Target Date.
 - III. **GREEN** – Completed.

Implications

Financial :	None
Legal :	None
Equality Impact Assessment :	None
Risks and Impact :	Risk to efficiency and effectiveness of business functions where agreed recommendations are not implemented in thorough and timely manner.
Link to Police and Crime Plan :	Transparency and accountability for business functions.

List of Appendices

Appendix A Audit Recommendations

Background Papers

N/A

Person to Contact

Roy Mollett - Tel 0116 2482690

Email: roy.mollett@leicestershire.pnn.police.uk

Kirsty Whitbread - Tel 0116 2486945
Email: Kirsty.Whitbread@leicestershire.pnn.police.uk

C/Supt Steph Pandit – Tel 0116 2482303
Email: steph.pandit@leicestershire.pnn.police.uk

DCC Simon Edens – Tel. 0116 2482005
Email: simon.edens@leicestershire.pnn.police.uk