

Meeting: **JOINT AUDIT, RISK & ASSURANCE PANEL**

Time/Date: **MONDAY 19TH SEPTEMBER, 2016 – 1:00 PM**

Location: **MAIN CONFERENCE ROOM, POLICE HEADQUARTERS**

Officer to contact: **PAUL DAWKINS, FINANCE DIRECTOR (Tel: 0116 248 2006)**

AGENDA

<u>Item</u>	<u>Report of</u>	<u>Marked</u>
1. Apologies		
2. Urgent Business		
3. Declarations of Interest		
4. Minutes of the meeting held on 05 th July 2016 and Rolling Action Sheet (<i>attached</i>)		
5. Internal Audit Progress Report	Mr Brian Welch Mazars	A
6. Internal Audit Recommendations and Tracking	Chief Constable	B
7. Risk Register	Chief Constable	C
8. ISA 260 and letter of Management Representation	Mr Avtar Sohal Ernst Young	D
9. Statement of Accounts	Chief Finance Officer/ ACO Finance	E
10. Public Sector Audit Appointments (PSAA)	Chief Finance Officer/ ACO Finance	F
11. First 100 days of the Police and Crime Commissioner	Chief Executive	verbal
12. Whistleblowing protocol with the Ethics Committee	Chief Executive	G
13. Tri-Force Update	Chief Constable/ Chief Executive	verbal
14. Terms of Reference- Tenure of Chair	Chief Finance Officer	H

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|-----|--------------------------------|------------------------|---------------|
| 15. | JARAP Visits to Force Meetings | Chair/ Chief Constable | verbal |
| 16. | Fraud and Corruption | Chief Constable | verbal |

Panel Members

Mr B Millett (Chair)
Mr L Dundas
Mr I Prince
Mr L Pulford

Others

Mrs L Saunders

Office of Police & Crime Commissioner

Mr P Stock (Chief Executive)
Mrs H King (Chief Finance Officer)

Auditors

Mr B Welch (Mazars)
Mr M Clarkson (Mazars)
Mr A Sohal (Ernst Young)
Mr J Burgess (Ernst Young)
Mr S Clarke (Ernst Young)

Office of Chief Constable

Mr R Bannister (Deputy Chief Constable)
Mr P Dawkins (ACO Finance)

Date of next meeting – 9th December 2016, 1:00pm