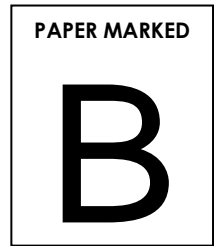


# POLICE & CRIME COMMISSIONER FOR LEICESTERSHIRE JOINT AUDIT, RISK & ASSURANCE PANEL



Report of	<b>CHIEF CONSTABLE and CHIEF FINANCE OFFICER (OPCC)</b>
Subject	<b>INTERNAL AUDIT RECOMMENDATIONS AND TRACKING</b>
Date	<b>THURSDAY 14 SEPTEMBER 2017 – 2.00PM</b>
Author :	<b>ROY MOLLETT</b>

## Purpose of Report

- The following exception report provides the Panel with update progress responses from business owners and external auditors Baker Tilly and Mazars for the period 2013-2016. Progress on the recommendations is reported in terms of priority as High, Medium, Low and Advisory for Baker Tilly and Fundamental, Significant and Housekeeping for Mazars.
- The following table illustrates the number of Baker Tilly - High, Medium, Low and Advisory recommendations outstanding and completed since the last progress report.

Priority of Recommendation	Outstanding		Completed	Not Adopted	Total
	RED	AMBER	GREEN	GREY	
High	-	-	-	-	<b>0</b>
Medium	-	<b>1</b>	-	-	<b>1</b>
Low	-	-	-	-	-
Advisory	-	-	-	-	-
<b>Total</b>	<b>0</b>	<b>1</b>	<b>0</b>	-	

- The following table illustrates the number of outstanding and completed - Fundamental, Significant and Housekeeping recommendations for the Mazar's audits undertaken to date:

Priority of Recommendation	Outstanding		Completed	Superseded	Total
	RED	AMBER	GREEN	GREY	
Fundamental	-	1	1	-	2
Significant	-	7	4	-	11
Housekeeping	-	6	8	-	14
<b>Total</b>	<b>0</b>	<b>14</b>	<b>13</b>	<b>0</b>	<b>27</b>

### Recommendation

4. For the panel to note the attached update on progress responses from business owners against each respective audit recommendation so far for 2013-2016.
5. For ease of reference the recommendations at Appendix A attached have been individually graded as follows:
  - I. **RED** – Outstanding and Exceeding the Target Date.
  - II. **AMBER** – Outstanding but Within the Target Date.
  - III. **GREEN** – Completed.
  - IV. **GREY** – Not Adopted

### Implications

Financial : None

Legal : None

Equality Impact Assessment : None

Risks and Impact : Risk to efficiency and effectiveness of business functions where agreed recommendations are not implemented in a timely manner.

Link to Police and Crime Plan : Transparency and accountability for business functions.

### List of Appendices

Appendix A - Audit Recommendations and business updates.

### Background Papers

N/A

### Person to Contact

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