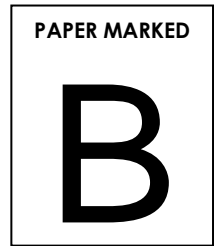


POLICE & CRIME COMMISSIONER FOR LEICESTERSHIRE JOINT AUDIT, RISK & ASSURANCE PANEL



Report of	CHIEF CONSTABLE and CHIEF FINANCE OFFICER (OPCC)
Subject	INTERNAL AUDIT RECOMMENDATIONS AND TRACKING
Date	TUESDAY 20 JUNE 20-17 – 10.00A.M
Author :	ROY MOLLETT

Purpose of Report

1. The following exception report provides the Panel with update progress responses from business owners and external auditors Baker Tilly and Mazars for the period 2013-2016. Progress on the recommendations is reported in terms of priority as High, Medium, Low and Advisory for Baker Tilly and Fundamental, Significant and Housekeeping for Mazars.
2. The following table illustrates the number of Baker Tilly - High, Medium, Low and Advisory recommendations outstanding and completed since the last progress report.

Priority of Recommendation	Outstanding		Completed	Not Adopted	Total
	RED	AMBER	GREEN	GREY	
High	-	-	-	-	0
Medium	-	1	-	-	1
Low	-	-	-	-	-
Advisory	-	-	-	-	-
Total	0	1	0	-	

3. The following table illustrates the number of outstanding and completed - Fundamental, Significant and Housekeeping recommendations for the Mazar's audits undertaken to date:

Priority of Recommendation	Outstanding		Completed	Superseded	Total
	RED	AMBER	GREEN	GREY	
Fundamental	-	-	2	-	2
Significant	-	9	6	-	15
Housekeeping	-	10	4	-	14
Total	0	19	12	0	31

Recommendation

4. For the panel to note the attached update on progress responses from business owners against each respective audit recommendation so far for 2013-2016.
5. For ease of reference the recommendations at Appendix A attached have been individually graded as follows:
 - I. **RED** – Outstanding and Exceeding the Target Date.
 - II. **AMBER** – Outstanding but Within the Target Date.
 - III. **GREEN** – Completed.
 - IV. **GREY** – Not Adopted

Implications

Financial :	None
Legal :	None
Equality Impact Assessment :	None
Risks and Impact :	Risk to efficiency and effectiveness of business functions where agreed recommendations are not implemented in a timely manner.
Link to Police and Crime Plan :	Transparency and accountability for business functions.

List of Appendices

Appendix A - Audit Recommendations and business updates.

Background Papers

N/A

Person to Contact

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