

POLICE & CRIME COMMISSIONER FOR LEICESTERSHIRE JOINT AUDIT, RISK & ASSURANCE PANEL

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Report of	OFFICE OF THE POLICE AND CRIME COMMISSIONER
Subject	JARAP EFFECTIVENESS REVIEW
Date	TUESDAY 17 JANUARY 2023 – 2:00PM
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Purpose of report

- 1.1 To review the effectiveness of JARAP using CIPFA guidance.

Recommendation

- 2.1 Members of the panel, along with the internal and external auditors are invited to consider and comment on the finding from the review and determine if they agree with the proposed outcome.

Information

- 3.1 It is good practice to carry out an effectiveness review from time to time on how the panel operates. The last effectiveness review was carried out in January 2022.
- 3.2 In December 2022 the Chair of JARAP met with the Senior Audit Manager from Mazars and the Chief Finance Officers from the Force and OPCC. The intention was to consider the effectiveness of the panel using the framework set out in the CIPFA publication 'Audit Committees: Practical Guidance for Local Authorities and Police (2022 Addition)'.
 - 3.3 The guidance includes a 'Self-assessment of good practice' as well as a further appendix on 'Evaluating the impact and effectiveness of the audit committee'.
 - 3.4 During the meeting in December the 'self-assessment of good practice' included within the 2018 guidance was used as a tool to measure effectiveness (Appendix A). However, for completeness this has since been transferred and aligned to the new 2022 guidance (included as Appendix B).
 - 3.5 Appendix A to this report sets out the responses to the self-assessment that were considered at the meeting referred to in paragraph 3.2. The self-assessment asks a series of questions relating to good practice. The response can either be 'Yes', 'No' or 'Partly'. The more questions where the response is 'yes' the better. The CIPFA self-assessment includes 25 questions, one question (question 2) was not relevant to Policing bodies. The remaining 24 questions have all been answered 'Yes' or 'Partly'.

with narrative included underneath each one providing further information on why this is believed to be the case.

- 3.6 Appendix B to this report sets out the responses to the self-assessments based on the 2022 guidance. The responses are measured against compliance of the good practice principles. The answers are weighted based on the extent of improvements required and overall performance calculated from a maximum score of 200. The table below summarises the outcome of this part of the review:

Response	Compliance?	Weighting of answers	Number of questions scored	Score
Major improvements	Does not comply	0	0	0
Significant improvements	Partially complies	1	0	0
Moderate improvements	Partially complies	2	1	2
Minor improvements	Partially complies	3	4	12
No further improvements	Fully complies	5	35	175

Total Score out of 200: 189

- 3.7 Also included in appendix A is the 2018 self-assessment of good practice.
- 3.8 Appendix C to this report sets out the evaluation of the effectiveness of the audit committee (JARAP).

Findings

- 3.7 Given the results of the self-assessment and the evaluation as described above, it is believed that JARAP has operated in an effective manner.
- 3.8 Members of the panel, along with the internal and external auditors are invited to consider and comment on this finding and determine if they agree with the proposed outcome.

Appendices

Appendix A: Self-assessment of good practice (2018)
 Appendix B: Self-assessment of good practice (2022)
 Appendix C: Evaluating the effectiveness of the audit committee

Person to contact

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