Leicestershire Police
Risk Management Policy

Policy Owner:	Head of Specialist Support Directorate (SSD)
Department Responsible:	Specialist Support Directorate (SSD)
Chief Officer Approval:	Deputy Chief Constable
Date of Next Review:	September 2021

This document has been reviewed against APP and all relevant procedures.

Review log

Date	Minor / Major / No change	Section	Author
Oct 2012	Rewritten Policy	All	Insp Duncan Malloy
Nov 2013	Minor	Statement: Addition "deliver the Police and Crime Plan"	Insp Duncan Malloy
Jan 2015	Minor	Annual review date added in place of 3 year review date. Footer removed throughout. Legal Basis section – BC Policy and Procedure added. Governance section – OPCC and JARAP added to SORB membership and role title corrected.	Laura Saunders
Jan 2016	No change	None	Laura Saunders
Feb 2017	Minor	Removal of the term BCU	Laura Saunders
Oct 2018	Minor	Minor changes in wording. Reference to Orchid removed Policy title amended. New policy template used.	Peter Coogan
Oct 2019	Moderate	Overhaul of Section 1. Other sections have had minor changes.	Kim Jebson-Hambly
Sep 2020	Moderate	Policy title amended. Section 1 re-worded. Other sections have had minor changes	Matt Jones

1. Statement

Managing risk

Leicestershire Police is committed to establishing a sound system of risk management. In Leicestershire we strive to protect ourselves from risks from all spheres, whether they be political, regulatory, environmental, technological, social or economical. By doing this we ensure our internal business continuity and our resilience to deliver excellent service to the communities we serve.

Risk is defined as "the effect of uncertainty on our objectives". Effects can create a positive outcome, a negative outcome or sometimes both (ISO31000). 'Risk Management' therefore refers to a coordinated set of activities used to control risk. It involves a 3-step risk assessment process followed by 'risk treatment' as outlined below:

1. Risk identification – recognise and describe the risk
2. Risk analysis – score it by determining the likelihood of the risk happening and the potential impact
3. Risk evaluation – decide whether it is high, medium or low and what we want to do about it
4. Risk treatment – look to reduce or eliminate the risk through risk controls

Effective monitoring and progress

We will actively identify risks and proactively manage them. These risks will be recorded on the appropriate departmental or strategic risk register and reviewed when required. The Strategic Organisational Risk Board (SORB), chaired by the Deputy Chief Constable, will provide corporate oversight of risks with independent oversight from the Joint Audit and Risk Assurance Panel (JARAP) together with external audits. SORB will ensure that risks are effectively managed.

2. Aims

This policy seeks to:

- Ensure that the risk management process supports the force's strategic priorities.
- Provide a consistent means of recording, assessing and managing risks.
- Embed ownership of risks at the appropriate level (strategic or departmental).
- Provide effective management information on the force's risk exposure and resilience.
- Ensure staff members are aware of their responsibilities for risk management.
- Integrate risk management into core business practices and organisational culture.

3. Scope

Leicestershire Police recognises that risk is widespread in policing and seeks not to duplicate the work carried out to control and mitigate risks through established management approaches such as the National Intelligence Model (NIM) or National Decision Model (NDM).

This policy concerns **organisational** risk rather than operational level risk, which is managed through separate processes.

4. Legal basis

The Civil Contingencies Act 2004, specifically Section 2, places a duty on police forces to have a comprehensive framework of risk management and business continuity planning in place.

This policy assists in complying with the legislation, as well as APPs around risk management, which forces are expected to follow. It should be read in conjunction with the Risk Procedure, Business Continuity Policy and Business Continuity Procedure.

5. Governance

Strategic direction and governance relating to risk is provided by the Strategic Organisational Risk Board (SORB) chaired by the DCC. Representatives from Directorates, the Office of the Police and Crime Commissioner (OPCC) and the Joint Audit, Risk and Assurance Panel (JARAP) attend.

The Policy will be reviewed on an annual basis by the force Health, Safety and Risk Advisor and be ratified by the SORB.

6. Associated procedures

Risk Management Procedure